



I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Mail Stop Petition, Commissioner of Patents, Alexandria, VA 22313-1450 on this 7<sup>th</sup> day of December, 2005.

By

*Vi Yarisantos*

(Signature of person mailing)  
Vi Yarisantos

(Typed or printed name of person)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: S.K. Sharma et al.

APPLICATION NO.: 10/717,244 : Examiner: not assigned

FILING DATE: November 19, 2003 : Group Art Unit: none

TITLE: Soluble Notch-Based Substrates for Gamma:  
Secretase and Methods and Compositions  
for Using Same

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Petition to Revive Unintentionally Abandoned Application – 37 CFR 1.137(b)/1.17(m)

Applicant herein respectfully petitions for revival of the above-identified application, which was unintentionally abandoned. The circumstances involve a timely-filed response to a Notice to File Missing Parts, which the Patent Office received and apparently lost. However, owing to circumstances associated with the merger of patent department dockets following the merger of Pharmacia & Upjohn Company into Pfizer Inc, which occurred at about the time the Patent Office subsequently issued a Notice of Abandonment herein, there is also involved a substantial period of time of unintentional delay which is the responsibility of the Applicant. Therefore, the \$1500 fee under 37 CFR 1.137(b)/1/17(m) is tendered and revival is respectfully requested.

Copies of the following documents are attached:

1. Notice to File Missing Parts mailed March 22, 2004;
2. Notice of Abandonment, mailed March 10, 2005 (printed from PAIR);
3. Response to Missing Parts, timely mailed May 24, 2004 including  
Transmittal Form,  
Fee Transmittal,  
Executed Declaration, and  
Communication in regard of Sequence Listing.
4. Applicant's Mailing Postcard stamped received by the PTO on May 27, 2004.
5. A docket printout generated December 6, 2005 for the present matter.

Doc 255535

12/13/2005 EFDRES 00000029 161445 10717244

01 FC:1453 1500.00 DA

Upon reasonable and diligent investigation, the pertinent facts are as follows.

As evidenced by item 5 above (Applicant's date stamped return postcard), the presumption clearly arises that Applicant's response to the Notice to File Missing Parts was timely received by the Patent Office and somehow lost. However, based upon the timely receipt back to Applicant of the postcard, Applicant would have had no immediate way of knowing that its submitted papers had been lost, and the first reasonable notice of a problem would have been receipt of the Notice of Abandonment mailed **March 10, 2005**.

As is evident from the face of the Notice of Abandonment, this document was properly mailed to the Law Department of Pharmacia & Upjohn in Kalamazoo, Michigan, the owner of the application in question. However, as a result of the aforementioned merger of Pharmacia & Upjohn into Pfizer, Inc., in 2003, and necessary intellectual property realignments, responsibility for prosecuting the present application had been transferred to the legal department of Pfizer, Inc. at its New York City headquarters.

However, the issue of the apparent abandonment of the present application was only discovered by the undersigned on **December 6, 2005** while performing an audit of the entire company patent estate in regard of Alzheimer's disease-related applications, and in order to investigate why no Official Action on the Merits had ever been received in regard of this application.

As a result of the corporate merger between the companies, it appears that prosecutorial responsibility was transferred from our Kalamazoo Legal Department to our New York Legal Department after the Notice of Missing Parts had been responded to, but before the Notice of Abandonment was issued. However, there was no obvious reason for the New York Legal Department to believe that anything was amiss.

It also reasonably appears that upon receipt of the Notice of Abandonment in the Kalamazoo Legal Department, presumably soon after its mailing date of March 10, 2005, somehow, the existence of the Notice of Abandonment was not called to the attention of the New York Legal Department. This must have resulted from simple clerical error.

On December 6, 2005, the undersigned attorney requested that our docketing computer run its records in regard of this application. Upon punching in the present application's docket number, absolutely no due dates were retrieved. In this regard, a copy of the resultant printout is attached (item 5, as numbered above). This reasonably indicates that, for whatever reason, a clerical error was made in our Kalamazoo facility that prevented timely transmittal of the Notice of Abandonment to our New York facility, otherwise a due date would have been entered into our docketing system. It is also possible, of course, that a copy of the Notice was sent from our Kalamazoo facility to our New York City facility, but became lost in the mail, or became lost within our New York Offices upon arrival, but this cannot be definitively established. In any case, the delay is purely clerical, and was unintentional.

Therefore, pursuant to 37 CFR 1.137(b)(3), the undersigned attorney hereby certifies that he has investigated all of the surrounding facts and confirms that the entire delay, from the mailing of the Notice of Abandonment on March 10, 2005 until the filing of the present Petition was **unintentional**.

Pursuant to 37CFR 1.137(d), no Terminal Disclaimer is needed since the present application was filed after June 8, 1995.


The Petition Fee of \$1,500.00, 37 CFR 1.17(m), or any other needed fee or fee amount, should be charged to Applicant's Deposit Account, No. 16-1445, and any additional fees that the Patent Office determines are needed in connection with this Petition (such as for a Petition for Extension of Time, if any) may also be charged to this Deposit Account.

The undersigned also notes that the Notice to File Missing Parts included a request to provide a replacement Sequence Listing -- because it apparently contained errors or was otherwise non-compliant, and also that a certification of identity with the paper copy thereof was not provided. However, in Applicant's Communication of May 24, 2004 (submitted with the response to the Notice to File Missing Parts, copy included herewith), it was noted that the PTO had not, in fact, included the "Raw Sequence Listing Marked-Up Copy" with the Notice, thereby to explain what the supposed errors referred to actually were. A superficial inspection of the file copy of the Sequence Listing has not evidenced any obvious problems, and therefore it is suggested that any such issues can be immediately resolved as soon as the case is returned to active status.

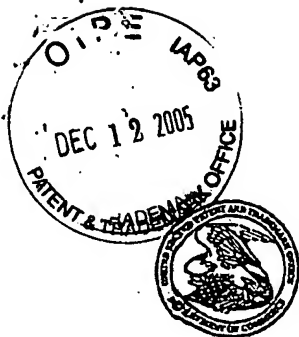
An early and favorable action is respectfully requested.

Respectfully submitted,

Date: December 07, 2005

  
\_\_\_\_\_  
E. Victor Donahue, Esq.  
Attorney for Applicant(s)  
Reg. No. 35,492

Pfizer, Inc.  
Patent Department, 5th Floor  
150 East 42nd Street  
New York, NY 10017-5755  
(212) 733-2739



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/717,244	11/19/2003	Satish Kumar Sharma	01130.US1

25533  
PHARMACIA & UPJOHN  
301 HENRIETTA ST  
0228-32-LAW  
KALAMAZOO, MI 49007

CONFIRMATION NO. 8896

## FORMALITIES LETTER



\*OC000000012153016\*

Date Mailed: 03/22/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

RECEIVED

■ For Rules Interpretation, call (703) 308-4216

MAR 29 2004

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PHARMACIA  
GLOBAL INTELLECTUAL PROPERTY

- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

**SUMMARY OF FEES DUE:**

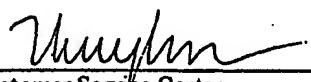
Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

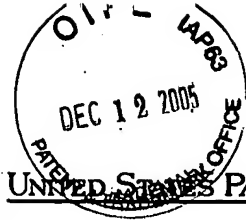
Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
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 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/717,244	11/19/2003	Satish Kumar Sharma	01130.US1

CONFIRMATION NO. 8896

**ABANDONMENT/TERMINATION  
 LETTER**



\*OC000000015420603\*

25533  
 PHARMACIA & UPJOHN  
 301 HENRIETTA ST  
 0228-32-LAW  
 KALAMAZOO, MI 49007

Date Mailed: 03/10/2005

**NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)**

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 07/06/2004.

- No reply was received.

If a complete reply to the notice was previously filed by applicant within the time period set forth in the notice, applicant may request for reconsideration of the holding of abandonment within 2 months from the mailing of this notice of abandonment by filing a petition to withdraw the holding of abandonment under 37 CFR 1.181(a). No petition fee is required. The petition must be accompanied by a true copy of the originally filed reply and the item (s) identified in one of the following:

1. A properly itemized date-stamped postcard receipt (see MPEP § 503);
2. If the originally filed reply included a certificate of mailing or transmission in compliance with 37 CFR 1.8(a), a copy of the certificate of mailing or transmission and a statement in compliance with 37 CFR 1.8(b) (see MPEP §§ 512); or
3. If the reply was filed via Express Mail, a submission satisfying the requirements of 37 CFR 1.10(e) including, for example, a copy of the Express Mail mailing label showing the "date-in" (see MPEP § 513).

Any petition to withdraw the holding of abandonment should be directed to OIPE.

If applicant did not previously file a complete reply within the time period set forth in the notice, applicant may file a petition to revive the application under 37 CFR 1.137.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(i); and (4) a terminal disclaimer if required by 37 CFR 1.137(d). See MPEP § 711.03(c) and Form PTO/SB/61.

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-

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identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d). See MPEP § 711.03(c) and Form PTO/SB/64.

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

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*A copy of this notice MUST be returned with the reply.*

Z. Moquist

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

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PTO/SB/17 (10-03)  
Approved for use through 07/31/2008. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**130.00**

## Complete if Known

Application Number	10/717,244
Filing Date	November 19, 2003
First Named Inventor	Satish Kumar Sharma
Examiner Name	Not Yet Assigned
Art Unit	1641
Attorney Docket No.	01130.US1

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

21-0718

Pharmacia & Upjohn Company

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)**0.00**

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	- 20** = 0	X \$0.00	= \$0.00
Multiple Dependent	- 3** = 0	X \$0.00	= \$0.00
		\$0.00	= \$0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 88	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**0.00**

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	\$130.00
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 280	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**130.00**

## SUBMITTED BY

Name (Print/Type) Edward F. Rehberg

Registration No. 34,703

Telephone (269) 833-7829

Signature

Date May 24, 2004

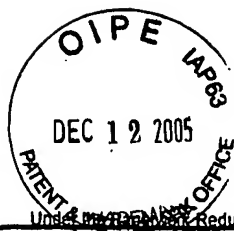
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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PTO/SB/21 (05-03)  
Approved for use through 04/30/2003. OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/717,244
	Filing Date	November 19, 2003
	First Named Inventor	Satish Kumar Sharma
	Art Unit	1641
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	01130.US1

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	A return-receipt postcard is enclosed.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Communication regarding sequence listing
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

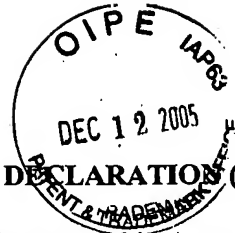
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Pharmacia & Upjohn Company Edward F. Rehberg 34, 703
Signature	<i>Edward F. Rehberg</i>
Date	May 24, 2004

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	Julie K. Lyons
Signature	<i>Julie K. Lyons</i>
Date	May 24, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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## DECLARATION (37 CFR §1.63) AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, and

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **SOLUBLE NOTCH-BASED SUBSTRATES FOR GAMMA SECRETASE AND METHODS AND COMPOSITIONS FOR USING SAME**, Docket No. 01130.US1, the specification of which

☐ is attached hereto.

☒ was filed on November 19, 2003 as Application Serial No. 10/717,244.

☐ was filed on as PCT International Application No. and was amended under PCT Article 19 on , if applicable.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR §1.56(a).

I hereby claim the benefit under 35 USC §119(e) of any United States provisional application(s) listed below:

<u>Application Serial No.</u>	<u>Filing Date (Day/Month/Year)</u>
-----------------------------------	---

60/429,206	26 November 2002
------------	------------------

I hereby claim foreign priority benefits under 35 USC §119(a)-(d), or §365(b), of any foreign application(s) for patent or inventor's certificate or §365(a) of any PCT International Application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT International Application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application on which priority is claimed:

<u>Application Serial No.</u>	<u>Country</u>	<u>Filing Date (Day/Month/Year)</u>	<u>Priority Claimed (Yes/No)</u>
-----------------------------------	----------------	---	--------------------------------------

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I hereby claim the benefit under 35 USC §120, of any United States application(s) or PCT International Application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of 35 USC §112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application  
Serial No.

Filing Date

Status (Patented,  
Pending, Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint

☒ the practitioners associated with Customer Number



25533

PATENT AND TRADEMARK OFFICE

☐ Thomas A. Wootton (Registration No. 35,004); Lucy X. Yang (Registration No. 40,259); Edward F. Rehberg (Registration No. 34,703); John H. Engelmann (Registration No. 28,075); Austin W. Zhang (Registration No. 48,061); Stephen L. Nesbitt (Registration No. 28,981); Mary J. Hosley (Registration No. 48,324); Jonathan P. O'Brien (Registration No. 50,852); and J. Trevor Lumb (Registration No. 28,567); all registered to practice before the Patent and Trademark Office.

as my attorneys or agents with full power of substitution and revocation to prosecute this application and all divisions and continuations thereof and to transact all business in the Patent and Trademark Office connected therewith and request that all correspondence and telephone communications be directed to the following person at the mailing address and telephone number hereafter given:

Name : Edward F. Rehberg, Attorney  
Registration No. : 34,703  
Address : Pharmacia & Upjohn Company  
Global Intellectual Property  
301 Henrietta Street  
Kalamazoo, Michigan 49001  
Telephone No. : (269) 833-7829 or (269) 833-9500  
Telefax No. : (269) 833-8897 or (269) 833-2316

COPY

Inventor's Signature S. Sharma Date 2/17/04

Full name of sole or first inventor: Satish Kumar Sharma

Residence: Canton, Michigan 48187

Citizenship: USA

Post Office Address: 1395 Colonnade Court, Canton, Michigan 48187

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 **COPY**



**PATENT**/Docket No. 01130.US1  
Serial No. 10/717,244  
Page 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit :  
Examiner :  
Applicant(s) : SK Sharma, KB Rank  
Serial Number : 10/717,244  
Filed : November 19, 2003  
For : SOLUBLE NOTCH-BASED SUBSTRATES FOR GAMMA  
SECRETASE AND METHODS AND COMPOSITIONS FOR USING  
SAME

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COMMUNICATION

Sir:

This communication is in response to the "Notice to File Missing Parts of Nonprovisional Application"

1. A declaration is being supplied,
2. The sequence listing as filed with this application in computer readable form (CRF) is identical to that supplied as a paper listing.
3. The Patent Office states that it has examined the computer readable form (CRF) and has found errors. It is stated that a copy of the marked up "Raw Sequence Listing" has been supplied so that Applicants can see which errors to correct. No such marked up listing was supplied. Applicants would respectfully request that the errors in the listing be identified with particularity so that they may address them.

Respectfully submitted,

  
Edward F. Rehberg, Attorney  
Registration No. 34,703

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Date: 5-24-2004



FORM PTORSP  
Rev. 5/1/03



file  
(EFR)

CASE NO. 01130. US1 PAGES \_\_\_\_\_ NO. OF CLAIMS \_\_\_\_\_

APPLICANT(S) Shavina & Rank

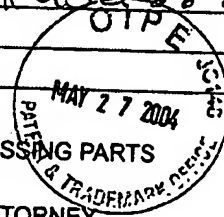
TITLE OF INVENTION Scruble North-Pase:1 Substrates  
for Gamma Secretase & Methods & . . .

FILING DATE 11/19/2003

SERIAL NO. 10/717,244

- ☐ APPLICATION
- ☐ APPEAL & FEE
- ☐ CERTIFICATE OF CORRECTION
- ☒ COMMUNICATION
- ☒ DECLARATION
- ☐ DISKETTE \_\_\_\_\_
- ☐ DRAWING
- ☐ EXPRESS ABANDONMENT
- ☐ INFORMATION DISCLOSURE

- ☐ ISSUE FEE
- ☐ NOTICE OF MISSING PARTS
- ☐ PETITION
- ☐ POWER OF ATTORNEY
- ☐ RESPONSE
- ☐ PRELIMINARY AMENDMENT
- ☐ \_\_\_\_\_
- ☐ \_\_\_\_\_
- ☐ \_\_\_\_\_



VLS Date: 5-24-2004

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Patent Department

## Due Date Report

RespParty1

PC-027514 USA

Docket # RespParty2 Country  
Former Dk IPM ID AgentCode

Sub-Status

App No

Action

Action Due Dt

Deadline Dt

Title

Notes

